



PTO/SB/08A (10-01)

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Substitute for form PTO-146A (1-97)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Complete if Known

Application Number	10/773,306
Filing Date	February 9, 2004
First Named Inventor	Mark J. STEFIK, et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket Number	11132S-127 (021100)

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)	Kind Code ² (if known)			
gaz		6,135,646		October 24, 2000	Kahn et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴	Kind Code ² (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Date Considered	T ²

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05 APRIL 2005

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Substitute for form 1449A/PTO

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		Number - Kind Code ² (if known)	Number - Kind Code ³ (if known)			
OTW		US-3,263,158		07/01/1996	Janis	
		US-3,609,697		09/28/1971	Blevins et al.	
		US-3,790,700		02/05/1974	Callais et al.	
		US-3,798,605		03/19/1974	Feistel	
		US-4,159,468		06/26/1979	Barnes et al.	
		US-4,220,991		09/02/1980	Hamano et al.	
		US-4,278,837		07/14/1981	Best	
		US-4,323,921		04/06/1982	Guillou	
		US-4,442,486		04/10/1984	Mayer	
		US-4,529,870		07/16/1985	Chaum	
		US-4,558,176		12/10/1985	Arnold et al.	
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		US-4,614,861		09/30/1986	Pavlov et al.	
		US-4,644,493		02/17/1987	Chandra et al.	
		US-4,658,093		04/14/1987	Hellman	
		US-4,713,753		12/15/1987	Beobert et al.	
		US-4,796,220		01/03/1989	Wolfe	
		US-4,817,140		03/28/1989	Chandra et al.	
		US-4,827,508		05/02/1989	Shear	
		US-4,868,376		09/19/1989	Lessin et al.	

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		Country Code ⁵ Number ⁶	Kind Code ³ (if known)				
		0 084 441	EP	07/27/1983	TABS LIMITED		
		0 180 460	EP	05/07/1986	SONY CORPORATION		
		0 332 707	EP	09/01/1989	HONDA GIKEN KOGYO KABUSHIKI KAISHA		
		0 538 216 A1	EP	04/21/1993	TELEVERKET		
		0 651 554	EP	05/03/1995	EASTMAN KODAK CO.		
		0 668 695	EP	08/23/1995	VICTOR COMPANY OF JAPAN LIMITED		
		0 725 376	EP	08/07/1996	SONY CORP.		
		2 136 175	GB	09/12/1984	ATALLA CORP.		
		2 236 604	GB	04/10/1991	SUN MICROSYSTEMS INC		
		0 715 241	JP	06/05/1996	MITSUBISHI CORP.		
↓		04-369068	JP	12/21/1992	CHIYUBU NIHON DENKI SOFUTOUEA KK		Abst

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/773,306
				Filing Date	February 9, 2004
				First Named Inventor	Mark J. STEFIK, et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	2	of	10	Attorney Docket Number	111325-127 (021100)

U.S. PATENT DOCUMENTS					
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✓		US-4,882,752	11/21/1989	Lindman et al.	
		US-4,891,838	01/02/1990	Faber	
		US-4,924,378	05/08/1990	Hershey et al.	
		US-4,932,054	06/05/1990	Chou et al.	
		US-4,937,863	06/26/1990	Robert et al.	
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		US-4,953,209	08/28/1990	Ryder, Sr. et al.	
		US-4,961,142	10/02/1990	Elliott et al.	
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		Country Code ² Number ⁴ (if known)			
		05-268415	JP 10/15/1993	RICOH CO LTD	Abst
		06-175794	JP 06/24/1994	FUJI XEROX CO LTD	Abst
		06-215010	JP 08/05/1994	SONY CORP.	Abst
		07-084852	JP 03/31/1995	HITACHI LTD.	Abst
		07-200317	JP 08/04/1995	TOSHIBA CORP.	Abst
		07-244639	JP 09/19/1995	FUJITSU LTD	Abst
		62-241061	JP 10/21/1987	NEC CORP.	Abst
		64-068835	JP 03/14/1989	RYOICHI MORI	Abst
		WO 01/63528	PCT 08/30/2001	IPDN COPR.	
↓		WO 92/20022	PCT 11/12/1992	DIGITAL EQUIPMENT CORP.	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	10/773,306
				Filing Date	February 9, 2004
				First Named Inventor	Mark J. STEFIK, et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	3	of	10	Attorney Docket Number	111325-127 (021100)

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GATL		US-5,138,712	08/11/1992	Corbin	
		US-5,146,499	09/08/1992	Geffroin	
		US-5,148,481	09/15/1992	Abraham et al.	
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		US-5,235,642	08/10/1993	Wobber et al.	
		US-5,247,575	09/21/1993	Sprague et al.	
		US-5,255,106	10/19/1993	Castro	
		US-5,260,999	11/09/1993	Wyman	
		US-5,263,157	11/16/1993	Janis	
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		US-5,276,444	01/04/1994	McNair	
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		Country Code ³ Number ⁴ (if known)			
		WO 93/01550	01/21/1993	INFOLOGIC SOFTWARE, INC	
		WO 94/01821	01/20/1994	SECURE COMPUTING CORP.	
		WO 96/24092	08/08/1996	BENSON, Greg	
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		WO 98/11690	03/19/1998	GLOVER, John J.	
		WO 98/42098	09/24/1998	CRYPTOWORKS, INC.	
↓		WO 99/49615	09/30/1999	MICROTOME	
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		US-5,428,606	06/27/1995	Moskowitz	
		US-5,432,849	07/11/1995	Johnson et al.	
		US-5,438,508	08/01/1995	Wyman	
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		US-5,457,746	10/10/1995	Dolphin	
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V		US-5,504,814	04/02/1996	Miyahara	

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		US-5,734,823	03/31/1998	Saigh et al.	
		US-5,734,891	03/31/1998	Saigh	
✓		US-5,737,413	04/07/1998	Akiyama et al.	

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CHPK		US-5,737,416	04/07/1998	Cooper et al.	
		US-5,745,569	04/28/1998	Moskowitz et al.	
		US-5,748,783	05/05/1998	Rhoads	
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		US-5,825,892	10/20/1998	Braudaway et al.	
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		US-5,910,987	06/08/1999	Ginter et al.	
		US-5,915,019	06/22/1999	Ginter et al.	
		US-5,917,912	06/29/1999	Ginter et al.	
		US-5,920,861	07/06/1999	Hall et al.	
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		US-5,982,891	11/09/1999	Ginter et al.	
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		US-6,047,067	04/04/2000	Rosen	
↓		US-6,112,181	08/29/2000	Shear et al.	

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				Application Number	10/773,306
				Filing Date	February 9, 2004
				First Named Inventor	Mark J. STEFIK, et al.
				Group Art	2131
				Examiner Name	Not Yet Assigned
Sheet	7	of	10	Attorney Docket Number	111325-127 (021100)

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<i>GPA</i>		"National Semiconductor and EPR Partner for Information Metering/Data Security Cards" March 4, 1994, Press Release from Electronic Publishing Resources, Inc.		
		Weber, R., "Digital Rights Management Technology" October 1995		
		Flasche, U. et al., "Decentralized Processing of Documents", pp. 119-131, 1986, Comput. & Graphics, Vol. 10, No. 2		
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		Weber, R., "Metering Technologies for Digital Intellectual Property", pp. 1-29, Oct. 1994, A Report to the International Federation of Reproduction Rights Organizations		
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		Kahn, R.E., "Deposit, Registration and Recordation in an Electronic Copyright Management System", pp. 1-19, August 1992, Corporation for National Research Initiatives, Virginia		
		Hilts, P. et al., "Books While U Wait", pp. 48-50, January 3, 1994, Publishers Weekly		
<i>V</i>		Strattner, A, "Cash Register on a Chip may Revolutionize Software Pricing and Distribution; Wave Systems Corp.", pp. 1-3, April 1994, Computer Shopper, Vol. 14, No. 4, ISSN 0886-0556		

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<i>JAN</i>		O'Conner, M., "New Distribution Option for Electronic Publishers; iOpener Data Encryption and Metering System for CD-ROM use; Column", pp. 1-6, March 1994, CD-ROM Professional, Vol. 7, No. 2, ISSN: 1409-0833			
		Willett, S., "Metered PCs: Is Your System Watching You? Wave System beta tests new technology", pp. 84, May 2, 1994, InfoWorld			
		Linn, R., "Copyright and Information Services in the Context of the National Research and Education Network", pp. 9-20, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1			
		Perrit, Jr., H., "Permission Headers and Contract Law", pp. 27-48, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1			
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		Sirbu, M., "Internet Billing Service Design and prototype Implementation", pp. 67-80, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1			
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<i>↓</i>		Griswold, G., "A Method for Protecting Copyright on Networks", pp. 169-178, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1			

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Sheet

10

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<i>JM</i>		Nelson, T., "A Publishing and Royalty Model for Networked Documents", pp. 257-259, January 1994, IMA Intellectual Property Project Proceedings, Vol. 1, Issue 1	
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		Henry H. Perritt, Jr., "Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment", April 2-3, 1993, Knowbots, Permissions Headers & Contract Law	

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